# Two-way Fluid-Structure Interaction Simulation of a Micro Horizontal Axis Wind Turbine

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Received 08 April 2014; received in revised form 27 December 2014; accepted 29 December 2014

# Abstract

A two-way Fluid-Structure Interaction (FSI) analyses performed on a micro horizontal axis wind turbine (HAWT) which coupled the CFX solver with Structural solver in ANSYS Workbench was conducted in this paper. The partitioned approach-based non-conforming mesh methods and the k- $\epsilon$  turbulence model were adopted to perform the study. Both the results of one-way and two-way FSI analyses were presented and compared with each other, and discrepancy of the results, especially the mechanical properties, were analysed. Grid convergence which is crucial to the results was performed, and the relationship between the inner flow field domain (rotational domain) and the number of grids (number of cells, elements) was verified for the first time. Dynamical analyses of the wind turbine were conducted using the torque as a reference value, to verify the rationality of the model which dominates the accuracy of results. The optimal case was verified and used to conduct the study, thus, the results derived from the simulation of the FSI are accurate and credible.

**IJETI** 

Keywords: fluid-structure interaction, flow field domain, dynamical analyses, grid convergence

# 1. Introduction

Renewable Energy Sources (RES) plays a crucial role in modern electric systems because of its obvious advantages, such as local availability, environment friendliness, and relative higher prices of fossil fuels as well as the development of corresponding technology in using it. Wind turbines extract the wind power by the rotors from mechanical energy, and then translate the mechanical energy into electrical power with the generator, achieving a relative widely utilization by these machines. Small wind turbines can be categorized as micro (<1KW), mid-range (1KW-5KW) and mini wind turbines (>20KW) [1]. The small wind turbines are the predominate wind power extracting devices in rural, suburban or even in the populated city areas [2], where large scale wind turbines could not be installed due to space constraints and generation of noise. Micro wind turbines, whose rated power is negligible when compared with large wind turbines, have some dramatic priorities, such as its simple geometry structure with light weight, easy to mounted, lower purchase price, and less space constraints. These machines rely solely on the torque produced by the wind acting on the blades to generate electrical power, rather than using the generator as a motor to start and accelerate the rotor when the wind is strong enough to produce power [3]. The torque produced by the wind is vital to the wind turbine, thus, the study of aerodynamic properties on the wind turbine causing a growing body of scholars. The FSI analyses takes full consideration of mechanical properties and aerodynamic properties of the wind turbine by

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virtue of some relative numerical methods, such as conforming meshes methods and non-conforming methods.

The numerical procedures which were adopted to solve FSI problems can be broadly classified into two approaches: the monolithic approach and the partitioned approach; this paper mainly focus on the partitioned approach. The partitioned approach can be divided into one-way and two-way coupling [4]. The fluid surface pressure acting at the surface of wind turbine structure is transferred to the structure solver without any feedback from the structure solver to the fluid solver when using the one-way FSI. In other words, the aerodynamic properties in vicinity of the wind turbine are not influenced by the solution in the structural solver. However, the two-way FSI transfers the displacement of the structure to the fluid solver and create new fluid mesh to accommodate the new interface location simultaneously. The two-way FSI can be achieved even several fluid and structure computations are performed at every time step and used to integrate the equations for each domain [5]. Whereas, this method is quite time-consuming as several computations are done at each time step. The FSI methods with conforming meshes usually involve three fields: the fluid dynamics, structural dynamics and mesh movement [6]. Nicholls-Lee et al. [7] conducted a full FSI analysis for a range of composites, bend-twist coupled blades on horizontal axis tidal turbines. The hydrodynamic analysis of a vertical axis tidal turbine using the full FSI coupling scheme was implemented by Khalid et al. [8] to compare the difference between the CFX independent simulations results and two-way FSI analyses results. Bazilevs et al. [9] and Hsu and Bazilevs [10] employed the non-conforming interface discretization approach to conduct the FSI on the full scale wind turbine. Grids which are called cells in CFD analyses are generally referred to the number of finite volumes divided in domains, which play a significant role in the accuracy of results and efficiency of the solution to a large extent. Nabi and Al-Khoury [12] studied the total grid number with only four cases to verify the best grid number to conduct their study, and Wang and Zhang [13] conducted the grid convergence on a micro wind turbine to verify the finest total grid number with five cases. Obviously, few cases were used to conduct the grid convergence as the simulation is time-consuming. To our best knowledge, none of these studies have elaborated the relationship of grids number and flow field domain other than indicated a finest grid number for a case.

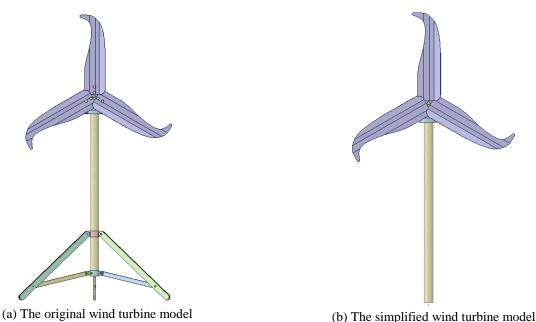
In this paper, we conducted the two-way FSI analyses on a micro HAWT and compared the results with the one-way FSI analyses. Dynamical analyses, which are critical to validate the rationality of a geometry model but few researches have paying attention on this aspect, were conducted to verify the reasonability at first, then the grid convergence research was performed with 20 cases. The relationship between the number of grids of flow field domain and wind turbine power was verified for the first time.

# 2. Wind Turbine Model

The micro HAWT is mainly consisted of five components: the blade, hub, tower, generator and tail. Materials for all these components are given structural steel to conduct the study, and Table 1 shows details of this machine. Fig. 1(a) gives the original geometry model of it, but a simplified model with some bolts, nuts and gaskets as well as three trestles removed from the original model which is shown in Fig. 1(b) is used to conduct the FSI analyses. Though the model is simplified, key components of it are preserved, and this can save a lot redundant computational time and achieve relative accurate results.

Rated Power	Rated DC Voltage	Rated Current	Rated Speed	Max Power	Number of Blade					
100 W	DC 12V	8.8 A	860 RPM	115 W	3					
Cut-in Wind Speed	Cut-out Wind Speed	Security Wind Speed	Rated Wind Speed	Rotor Diameter	Materials					
3.5 m/s	15 m/s	45 m/s	12 m/s	850 mm	Structure Steel					

Table 1 Operational properties of the micro wind turbine



(b) The simplified wind turbine model Fig. 1 The micro horizontal axis wind turbine model

# 3. CFD Simulations

#### 3.1. Numerical Methods

The partitioned approach-based non-conforming mesh methods were adopted to conduct the FSI analyses of the micro wind turbine. This approach treats the fluid and the structure as two computational fields which can be solved separately with their respective mesh discretization and numerical algorithm [6]. The calculations for the fluid side which were used to solve the Navier-Stokes equation is given by Eq. 1. The interfacial conditions where between the fluid and the structure using the explicit solution procedure to exchange data interactively. The non-conforming mesh methods treat the boundary location and the related interface conditions as constraints that imposed on the model equations [6]. Using these methods, the two-way FSI solution becomes more accurate, especially for larger deflections in the structure that fluid field is strongly influenced by structural deformation [14], and it can be a second-order time accuracy and more stable [5]. The k- $\varepsilon$  turbulence model which is a widely used two-equation turbulence model was also used in this study.

The k- $\epsilon$  turbulence model, where k is the turbulence kinetic energy and  $\epsilon$  represents the turbulence eddy dissipation, is given by Eq. (2)-(3) respectively.

$$\rho\left(\frac{\partial v}{\partial t} + v \cdot \nabla v\right) = -\nabla p + \nabla \cdot T + f \tag{1}$$

where v is the flow velocity,  $\rho$  is the fluid density, p is the pressure, T is the component of the total stress tensor, and *f* represents body forces.

$$\frac{\partial(\rho k)}{\partial t} + \frac{\partial}{\partial x_j} \left( \rho U_j k \right) = \frac{\partial}{\partial x_j} \left[ \left( \mu + \frac{\mu_i}{\sigma_k} \right) \frac{\partial k}{\partial x_j} \right] + P_k - \rho \varepsilon + P_{kb}$$
<sup>(2)</sup>

$$\frac{\partial(\rho\varepsilon)}{\partial t} + \frac{\partial}{\partial x_j} \left(\rho U_j \varepsilon\right) = \frac{\partial}{\partial x_j} \left[ \left(\mu + \frac{\mu_t}{\sigma_\varepsilon}\right) \frac{\partial\varepsilon}{\partial x_j} \right] + \frac{\varepsilon}{k} (C_{\varepsilon 1} P_k - C_{\varepsilon 2} \rho \varepsilon + C_{\varepsilon 1} P_{\varepsilon b})$$
(3)

Where *k*: turbulence kinetic energy per unit mass;  $\varepsilon$ : turbulence dissipation rate;  $C_{\varepsilon 1}$ : k-epsilon turbulence model constant, value :1.44;  $C_{\varepsilon 2}$ : k-epsilon turbulence model constant, value :1.92;  $C_u$  :k- $\varepsilon$  turbulence model constant, value :0.09;  $P_k$  : shear production of turbulence ;  $U_j$ : velocity magnitude ; $\mu$ : turbulence dissipation rate ; $\mu_t$ : turbulent viscosity ; $\rho$ : density ; $\sigma_k$ : turbulence model constant for the *k* equation, value:1.0;  $\sigma_{\varepsilon}$ : k- $\varepsilon$  turbulence model constant, value :1.3;  $P_{kb}$  and  $P_{\varepsilon b}$  represent the influence of the buoyancy forces and details could be found in [11].

#### 3.2. Design constraints

In order not to affect the accuracy of results in the CFD solver, a rational flow field domain which is consisted with a rotational flow field domain and outer flow field domain, causing much concerns on its grids number. Fig. 2 shows the flow field domain which is used to conduct the FSI analyses in this study. The dimensions of the outer flow field domain, which is multiples of the rotational domain's diameters (D), have some differences in the upwind direction and downwind direction. The outer flow field domain is extended to 5D in the upwind direction and 10D in the downwind direction. The dimension of the other dimensions at the inlet interface are 2.5D and 1.5D away from the canter of the rotational domain, respectively.

The boundary conditions (BC) of the flow field domain are defined as: the velocity value of the Inlet is the rated wind speed 12m/s which is given by Table 1; the Outlet BC are set as opening, which allows the wind to cross the boundary surface in either direction; Symmetry BC are used for the lateral surface because it can reduce the size of the outer flow field domain and neglect the real wall effects; the no slip wall is applied at the bottom wall of the flow field. The angular velocity of the rotational domain is the rated speed 860 RPM (90.06 rad/s). The analysis type is transient and turbulence value is 0.1. The frame change option "Frozen Rotor" and the pitch change option "None" are chosen to conduct this simulation. The frozen rotor model is widely used in the axial compressors and turbines because it can change the frame of reference while maintain the relative position of the components.

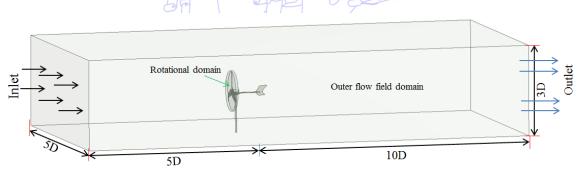


Fig. 2 Boundary conditions and main dimensions of the computational domain

#### 3.3. Dynamical Simulation

Dynamical simulation can simulate the operational manner of a model which is designed based on actual machines and produce relative realistic results. The dynamical simulation of a model when used in a research with reasonable operating conditions could give relatively accurate results to assess the rationality of a model and avoid errors caused by the geometry model. However, few studies conducted on the FSI analyses of the wind turbine have paid attention to this aspect. We conducted the dynamical simulation of the micro wind turbine with a dynamical module of the ANSYS Space Claim Design Modeller and validated the rationality of the geometry model. The torque of the wind turbine is a critical factor as it has a direct relationship with the wind turbine power; the centroid velocity of the wind turbine gives a better method to assess the kinetic characteristics of this machine.

In Fig. 3, the centroid of the blade is denoted as a red point and the centroid trajectory which is a circle is highlighted in light blue. The radius of the centroid trajectory is short for r (0.195m).

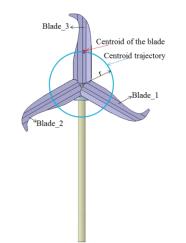
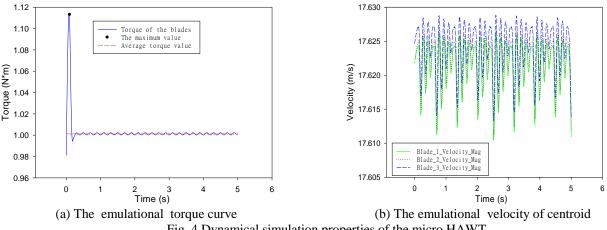
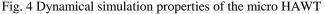


Fig. 3 Details of the model for the dynamical analyses

The total simulation time is 5s and the velocity of the wind turbine is 860 RPM. The rotational direction is clockwise and the gravity is taken into consideration meanwhile. Fig. 4(a) and Fig. 4(b) show the dynamical properties of the micro wind turbine clearly. Fig. 4(a) depicts that the maximum torque value occurs at the start up condition with a value nearly 1.114 N·m · and the torque sharply drops to a relative lower value with a value nearly 0.99 N·m. The torque fluctuates at the average torque value 1.0042 N·m in such a little a range that it is negligible. Thus, we can conclude that the torque value of the micro wind turbine is about 1 N·m. The torque value is relative smaller when compared with the rated value because some factors, such as the air resistance, frictional resistance are not taken into consideration. As the torque value is fluctuating, thus it gives us a hint that the centroid velocity of the blade should be varying with time as well. Fig. 4(b) shows that the centroid velocity of each blade though has a negligible difference between Blade\_1 and Blade\_3 with a maximum difference nearly 0.002 m/s, which can be treated as a constant mean velocity value 17.623 m/s. The theoretical value which is calculated with v =  $r \cdot \omega = 17.562$  m/s, where r is the radius of the centroid trajectory (0.195m) and  $\omega$  is the rated speed 90.06 rad/s, has very little difference with the emulational value.





#### 3.4. Grid Convergence

Grid convergence, which is also called the grid independence, plays a critical role in the accuracy and efficiency of the computational simulation. Neither too much nor too less grids would satisfy the demand of efficiency and accuracy of the results.

Hou (et al. 2012) pointed out that in the FSI analyses, 80% of computational time is for the fluid, while only 10% for the structure. As the size of grids in the computational fluid dynamics (CFD) solver is smaller than the structural solver, thus, it costs more computational time. The outer flow field domain size is much larger than the rotational domain, therefore, a reasonable grid density of the out flow field domain is crucial.

Table 2 Details of different cases and the wind turbine properties								
Cases	Total Grids	OFFDG*	RFFDG*	Torque (N·m)	Power (W)	Relative Error (%)		
Case_1	13065	5700	7365	0.734	66.1	33.9		
Case_2	16523	9158	7365	0.7692	69.3	30.7		
Case_3	17838	10473	7365	0.7909	71.2	29.8		
Case_4	19633	12268	7365	0.7463	67.2	32.8		
Case_5	20927	13562	7365	0.783	70.5	29.5		
Case_6	32905	25540	7365	0.704	63.4	36.4		
Case_7	82473	75108	7365	0.67	60.3	39.7		
Case_8	94037	86672	7365	0.6696	60.3	39.7		
Case_9	108383	101018	7365	0.6535	58.8	41.2		
Case_10	126577	119212	7365	0.6433	57.9	42.1		
Case_11	43779	33764	10015	0.844	76	24		
Case_12	62353	33764	28589	1.0351	93.2	6.8		
Case_13	67707	33764	33943	1.0556	95.1	4.9		
Case_14	74497	33764	40733	1.0863	97.8	2.2		
Case_15	79374	33764	45610	1.105	99.5	0.5		
Case_16	129849	33764	96085	1.171	105.7	5.7		
Case_17	151558	33764	117794 J	ETI 1.186	106.8	6.8		
Case_18	178674	33764	144910	1.192	107.3	7.3		
Case_19	214226	33764	180462	1.1991	108	8		
Case_20	333695	33764	299931	1.2148	109.4	9.4		

Table 2 Details of different cases and the wind turbine properties

\*OFFDG: outer flow field domain grid; \*RFFDG: rotational flow field domain

In this paper, 20 cases were divided to perform the grid convergence and the optimal case was determined and selected to carry out the FSI analyses. Table 2 shows details of the number of cells and flow field domain. In Table 2, the torque and relative error of each case are listed and elements number of each domain is given as well .The wind turbine power is a function of the torque, which is given by Eq.(4).

$$P = \frac{T \cdot n}{9550} \tag{4}$$

Where *P* is the electrical power; *T* is the torque of wind turbine, *n* is the rotational velocity. Thus, the rated torque calculated by this equation is  $1.11 \text{ N} \cdot \text{m}$ .

The relative error is written as

$$E_{R} = \frac{|P - P_{R}|}{P_{R}} \times 100\% \tag{5}$$

Where  $E_R$  represents the relative error; P and  $P_R$  denote as the power calculated in these simulations and the rated power,

respectively.

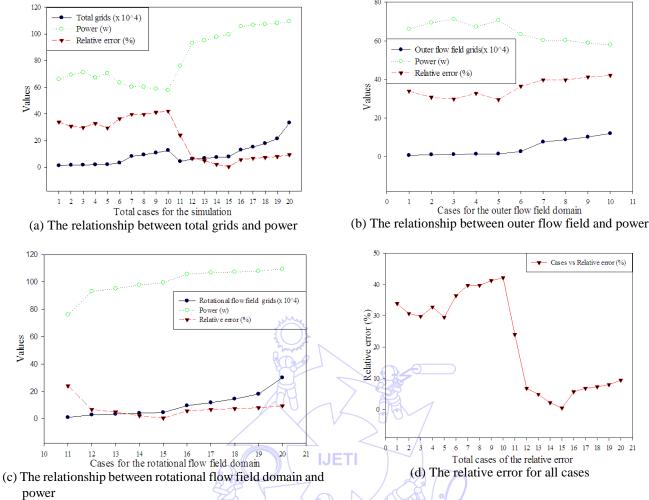


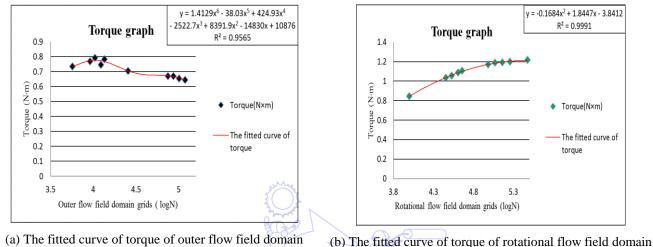
Fig. 5 Cases of different grids number to verify the grid convergence

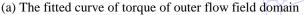
We conducted the former 10 cases (case\_1 to case\_10) to figure out the significance of the out flow field domain grids and another 10 cases to verify the rotational flow field domain grids. All these cases performed can be used to validate the optimal case and analyze the relationship between grid number and accuracy as well as efficiency of results. The sizes of grid in cases (case\_1 to case\_6) are coarse while case\_7, case\_8 and case\_9 and case\_10 have a better mesh. However, little difference occurs when compared the torque among these cases. Though the number of total grids in case\_10 is 9.67 times as big as the case\_1, however, the torque of the case\_10 is smaller than case\_1. It just elaborates that the relationship in these ten cases are unpredictable. The optimal case is case\_3, whose power is the maximum when compared with the rated power, that's to say, this case has the least error in these study. Thus, fewer grids can be used to conduct the FSI analyses because the accuracy of results have indirect relationship with number of total cells. Considering that much computational time can be reduced with fewer grids, an optimal case should be verified. The case\_5 whose rotational flow field domain grids is used as a reference to validate the effect of outer flow field domain grids on the results with another nine cases (from case\_1 to case\_10); and case\_15, whose relative error is 0.5% and the number of grids is reasonable, is used to verify the rotational flow field domain grids on the results with cases (from case\_11 to case\_20). Finally, case\_15 is chosen as the optimal to conduct this study.

Fig. 5(b) indicates the relationship between the outer flow field domain grids and power. It depicts that with the number of grids from case\_1 to case\_10 are increasing, the power varying in the previous five cases while has an opposite relationship in another five cases. Thus, the number of grids in outer flow field domain has an indirect relationship with the accuracy of

results. Fig. 5(c) indicates that the tendency of the rotational flow field domain grids and the power achieves a good agreement. Thus, we can conclude that the grid number of the rotational flow field domain dominates the accuracy of the FSI analytical results.

Figs. 5(b) and Fig. 5(c) depict the tendency of grids number and power clearly. However, the functional relationship with the number of cells in flow field domain as the variable should be given to achieve a much more comprehensive cognition of it. The fitted curve of torque and relative error are given using the Excel. As the relationship between torque and power is given with Eq. 4, thus, the fitted curve of power is similar with torque.





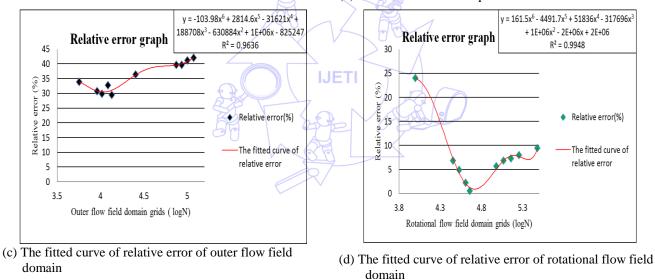
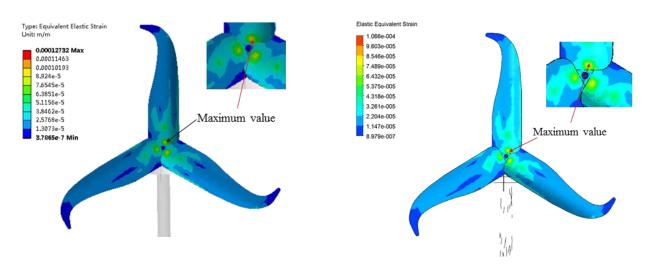


Fig. 6 The fitted curves for different flow field domains

Considering the number of grids is relatively large, thus, logarithm is adopted to simplify the description. The fitted curve y is located at the top right box and, the coefficient of determination R2 is the ratio of explained sum of squares (ESS) and total sum of squares (TSS). The larger R2 the better fitted curve. Figs. 6(a) and Fig. 6(b) show the relationship of grids number and power with different matched curves and R2. The fitted curve of the torque in Fig. 6(a) is a six order polynomial with R2=0.9565; however, the fitted curve of torque can achieve the R2=0.991 with only two order polynomial. Thus, grids number of the rotational flow field domain dominates the computational results. The fitted curve of relative error depicts the relationship between grids number and relative error. The fitted curves in Figs. 6(c) and (d) are both six order polynomial, but the R2 of rotational flow field domain is relatively larger than it for the outer flow field domain. Each of these two curves has a minimum value point. However, the minimum value in Fig. 6(c) is 29.8%, which exceeds the rational ranges and in Fig. 6(d) is 0.5%, which agrees well with the rated value. Thus, the fitted curves for rotational flow field domain are rational and correct.

# 4. Results and Discussion



(a) The equivalent elastic strain of one-way FSI
 (b) The equivalent elastic strain of two-way FSI
 Fig. 7 A comparison of equivalent elastic strain using two different methods

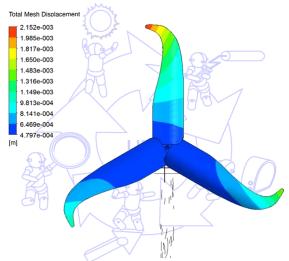
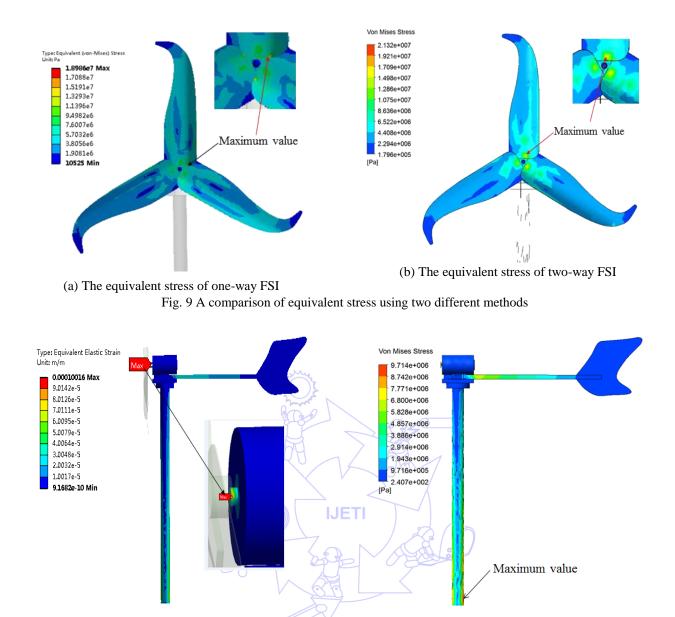


Fig. 8 The total mesh displacement of blade (two-way FSI)

Fig. 7 shows the results of equivalent elastic strain of the blades using one-way and two-way FSI analyses. Though the mesh displacement is not taken into account in the one-way FSI analysis, which is shown in Fig. 7(a), differences in value of the equivalent elastic strain is negligible when compared with two-way FSI. The position of the maximum value shown in these two figures is consistent with each other and the maximum difference value of the equivalent elastic strain is within 1.07e-5, which can be neglected in performing this study. Thus, both the one-way and two-way FSI analyses which is shown in Fig. 7(b) can achieve an accurate result with a negligible change. It is primarily because that the rated wind speed causes little deformation of the blades which are made of structural steel, thus, resulting in a negligible difference in these two methods.

The mesh displacement shown in Fig. 8 indicates that the maximum value occurs at the tip of the blade. The mesh displacement is relatively smaller near the root of the blades. The mesh displacement can be a reflection of the deformation of the blades because the new meshes are created to accommodate the interface location. Thus, we can affirm that the deformation of the blades is relatively minor as the mesh displacement used in two-way FSI analysis is negligible as well.



(a) The equivalent stress of other components of one-way FSI (a) The equivalent stress of other components of two-way FSI Fig. 10 A comparison of equivalent stress using two different methods

Distinguishing the priority between one-way and two-way FSI analyses seems impossible if we just conduct a contradistinction of the equivalent elastic strain. Thus, the equivalent stress (von-Mises stress) of both the rotor and other components were analyzed and compared with each other. Fig. 9(a) depicts the distribution of the equivalent stress on the rotor in one-way FSI analyses. The position of the maximum von-Mises stress is not consistent with each other. The maximum von-Mises value occurs at edge of the blade root in one-way FSI analysis, while the maximum von-Mises value shown in Fig. 9(b) occurs near the blade root. The von-Mises stress values in these two simulations have some difference while achieves a good consistency with the position on blades with only little offset. Fig. 10 shows the distribution of equivalent stress of the other components. The maximum stress value as well as the position have a dramatically difference. The maximum value of stress 18.59 MPa in Fig. 10(a) occurs at the rotating shaft and in Fig. 10(b), the maximum value 9.71 MPa occurs at the location near the bottom of the tower. The distribution of equivalent stress shown in Fig. 10(b) shows a reasonable result which is conformed to the realistic. Thus, the two-way FSI analysis has a better accuracy of results than the one-way FSI analyses.

# 5. Conclusions

In this paper, the new designs of CCPGT have been generated in a systematic methodology. Firstly, the design requirements and design constraints are summarized based on the characteristics of the existing CCPGT. Then, the atlas of new designs are obtained through the process of the creative mechanism design approach, and 3 new designs synthesized from (5, 7) graph have been obtained. Finally, the feasibility of the new designs is verified by conducting kinematic simulation. The result has shown that the new designs can produce a more wide range of non-uniform output motion than the existing design, and are better alternatives for driving a variable speed input mechanism.

- (1) Dynamical simulation of the micro HAWT was performed and the results of torque and velocity were analyzed. The torque demonstrated by the kinematic simulation presents a minor value by comparing with the rated torque and reasons were explained. The torque and centroid velocity show a good agreement with the theoretical value, thus, the correctness of the model can be achieved.
- (2) Grid convergence was conducted and the optimal case was validated and used in this study. Grid convergence performed with two flow field domain shows a good consistency between the power and the number of grids in the rotational flow field domain. The relative error of the analyzed results was compared among cases and the best case was verified. Thus, the accuracy and efficiency of the FSI analyses can be credible.
- (3) The results derived from both the one-way and two-way FSI analyses were compared with each other and the accuracy of the two-way FSI method was validated. The results, such as the stress and strain of the rotor, show a minor change in their maximum value and position. However, distinctions occur in other components of the wind turbine. Thus, the two-way FSI analyses have more credible results.

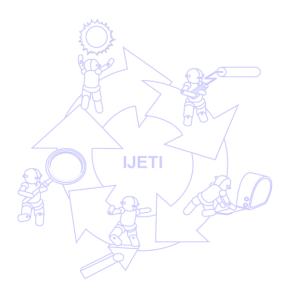
### 6. Acknowledgements

The research is really thanked to Graduate Innovation Foundation of Yantai University (YJSZ201415), and the financial support of the National Science Council, under Grants MOST 103-3113-E-002 -003.

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44